

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF NEW YORK

JENNIFER I. ZAMMIELLO,

Plaintiff,

v.

Civil Action No.
6:14-CV-1497 (DEP)

CAROLYN W. COLVIN, Acting Commissioner
of Social Security,

Defendant.

APPEARANCES:

OF COUNSEL:

FOR PLAINTIFF

PETER M. HOBAICA, LLC
2045 Genesee Street
Utica, NY 13501

B. BROOKS BENSON, ESQ.

FOR DEFENDANT

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FERGUS J. KAISER, ESQ.
Special Assistant U.S. Attorney

DAVID E. PEEBLES
U.S. MAGISTRATE JUDGE

ORDER

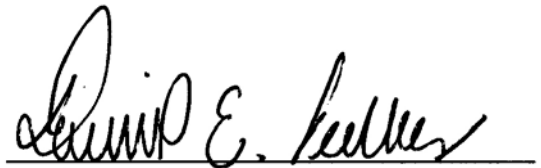
Currently pending before the court in this action, in which plaintiff seeks judicial review of an adverse administrative determination by the Commissioner, pursuant to 42 U.S.C. § 405(g), are cross-motions for judgment on the pleadings.¹ Oral argument was conducted in connection with those motions on November 18, 2015, during a telephone conference held on the record. At the close of argument I issued a bench decision in which, after applying the requisite deferential review standard, I found that the Commissioner's determination did not result from the application of proper legal principles and is not supported by substantial evidence, providing further detail regarding my reasoning and addressing the specific issues raised by the plaintiff in this appeal.

After due deliberation, and based upon the court's oral bench decision, a transcript of which is attached and incorporated herein by reference, it is hereby

¹ This matter, which is before me on consent of the parties pursuant to 28 U.S.C. § 636(c), has been treated in accordance with the procedures set forth in General Order No. 18 (formerly, General Order No. 43) which was issued by the Hon. Ralph W. Smith, Jr., Chief United States Magistrate Judge, on January 28, 1998, and subsequently amended and reissued by Chief District Judge Frederick J. Scullin, Jr., on September 12, 2003. Under that General Order an action such as this is considered procedurally, once issue has been joined, as if cross-motions for judgment on the pleadings had been filed pursuant to Rule 12(c) of the Federal Rules of Civil Procedure.

ORDERED, as follows:

- 1) Plaintiff's motion for judgment on the pleadings is GRANTED.
- 2) The Commissioner's determination that plaintiff was not disabled at the relevant times, and thus is not entitled to benefits under the Social Security Act, is VACATED.
- 3) The matter is hereby REMANDED to the Commissioner, without a directed finding of disability, for further proceedings consistent with this determination.
- 4) The clerk is respectfully directed to enter judgment, based upon this determination, remanding the matter to the Commissioner pursuant to sentence four of 42 U.S.C. § 405(g) and closing this case.

A handwritten signature in black ink, appearing to read "David E. Peebles", written over a horizontal line.

David E. Peebles
U.S. Magistrate Judge

Dated: November 24, 2015
Syracuse, NY

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

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JENNIFER I. ZAMMIELLO,

Plaintiff,

vs.

6:14-CV-1497

CAROLYN W. COLVIN, Acting Commissioner
of Social Security,

Defendant.

-----x
Transcript of a **Decision** held during a
Telephone Conference on November 18, 2015, at the
James Hanley Federal Building, 100 South Clinton
Street, Syracuse, New York, the HONORABLE DAVID E.
PEEBLES, United States Magistrate Judge, Presiding.

A P P E A R A N C E S

(By Telephone)

For Plaintiff: OFFICE OF PETER M. HOBAICA, LLC
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1 (The following is an excerpt from the
2 proceedings held on 11/18/2015.)

3 (In Chambers, Counsel present by telephone.)

4 THE COURT: All right. I have before me a request
5 for judicial review of the Commissioner's determination under
6 42 United States Code Section 405(g).

7 The background of the case is as follows: The
8 plaintiff was born in March of 1974, she's currently 41 years
9 old, if my math serves me correctly. She lives in Paris,
10 New York with her husband and three young daughters who were
11 12, 6, and 3 at the time of the hearing in this matter. She
12 has an associate's degree in culinary arts. She has various,
13 she has had various restaurant, bakery, and catering jobs
14 including working in a pizzeria between 2004 and 2011, owning
15 a bakery from March of 2011 until August of 2011, and working
16 part time for her aunt, who owns Florentine Pastry Shop in
17 Utica, between September 2011 and September 2012.

18 She was diagnosed in September of 2011 as suffering
19 from multiple sclerosis, that's at page 322. She has been
20 treating since August 5th, 2011 with Dr. Lev Goldiner, she
21 has been on -- he is with the Slocum Dickson Medical Group.
22 She's been on Rebif, B12, vitamin D, and was on Ritalin.

23 She testified to flare-ups approximately every
24 three months. In May of 2012, she spent five days on
25 steroids trying to recover from a flare-up. In August 2012,

1 according to her, she had a flare-up that lasted between 14
2 and 18 days. In December of 2012 through January 24, 2012
3 was her worst flare-up lasting approximately two months.
4 Some of the symptoms she testified to including -- include
5 loss of use and pain in right hand, right leg, fatigue. She
6 sleeps between three and four hours during the day, some days
7 spends all day in bed. She is right-hand dominant, by the
8 way. She has pain and numbness and weakness in the right
9 arm, as I said, and she has tremors in her right hand.

10 She did undergo right shoulder surgery by Dr. John
11 Sullivan in September 2012. That does not seem to be an
12 issue or related to her MS.

13 Procedurally, she applied for Disability Insurance
14 benefits in January 2012, alleging an onset date of
15 September 21, 2011. A hearing was conducted in April 25,
16 2012 -- 13, I'm sorry, by Hortensia Haaversen,
17 H-a-a-v-e-r-s-e-n. Judge Haaversen issued a decision on
18 May 20, 2013 finding that the plaintiff was not disabled at
19 the relevant times and therefore ineligible for benefits.

20 The Social Security Appeals Council, after being
21 presented with additional submissions which included 12F, an
22 exhibit, a letter from the treating physician Dr. Goldiner,
23 denied review of the determination in the standard format
24 that does not give specific reasonings other than general
25 statements.

1 The administrative law judge's decision, I have to
2 say, was very comprehensive, and in many respects thorough.
3 The administrative law judge went through the standard
4 five-step disability protocol, concluded that the MS was
5 indeed severe, but that she did not meet or equal medically
6 the listed -- listing Section 11.09 for MS which would have,
7 if she met that listing, she would be presumptively disabled
8 under the regulations. After surveying the medical evidence,
9 the ALJ concluded that the plaintiff has the residual
10 functional capacity to perform light work with some
11 exceptions including, notably, that the claimant can
12 occasionally use the dominant right hand but has no other
13 limitations with the left hand. There is no limitations
14 expressed with respect to fingering and fine motor activity.

15 The ALJ concluded that the plaintiff's testimony
16 concerning her limitations are not entirely credible. He --
17 she rejected the opinions of Dr. Gould who provided a medical
18 assessment based on a one-time examination of the plaintiff,
19 literally the day before the -- conducted the day before the
20 hearing. She also concluded that the state agency consultant
21 was entitled to weight based on her RFC finding. She placed
22 less weight on the findings of Dr. Dennis Noia who
23 psychologically examined the plaintiff, great weight to the
24 opinions of Dr. Puri who examined the plaintiff in April of
25 2012, and we've discussed his findings, minimal weight to

1 Dr. Gould's opinion, concluded that plaintiff is unable to
2 perform any of her past relevant work, but after consulting
3 with both the grids as a framework and the testimony of a
4 vocational expert concluded that she is capable of performing
5 as an usher and as a counter clerk and that there are
6 sufficient number of jobs available in the national economy
7 in those positions and therefore the plaintiff is not
8 disabled.

9 The first argument that was raised is one that I
10 think is extremely interesting, I looked at extremely
11 carefully. The argument is that there were direct opinions
12 provided by Dr. Goldiner, the treating source, in his letter
13 dated September 16, 2013, supplied to the Social Security
14 Appeals Council, at page 661 and 662 of the record. It not
15 only endorses the findings of Dr. Gould that were rejected in
16 large part by the administrative law judge, but provides
17 specific reference to portions of the record, his treating
18 records to support that. He also reiterates his opinion that
19 the plaintiff meets or medically equals the requirements of
20 listing 11.09(A). The -- the case law is clear, at least
21 from this district, and from the Western District of
22 New York, that when new and material evidence, and I find
23 this to be new and material evidence, is submitted to the
24 Social Security Appeals Council, and it consists of opinions
25 from a treating source, the Appeals Council has the

1 obligation to make the same analysis that an administrative
2 law judge must, under the regulations and case law, and must
3 state specifically why the opinions are being rejected if
4 they are being rejected.

5 The cases that we've cited are in the briefs, *Judge*
6 *v. Commissioner of Social Security* from the Northern
7 District, *Beck v. Colvin* from the Western District of
8 New York, *Schramm v. Colvin* from the Western District of
9 New York, Judge Skretny, and *Rosas-Nazario* that I cited
10 earlier, and lastly *Flagg*, uniformly impose that requirement.
11 The requirement was clearly not met in this case, and that
12 alone provides a basis for reversal.

13 If I were looking at this without that flaw on the
14 merits, I would apply the deferential standard and my role
15 would be limited to determining whether the result is
16 supported by substantial evidence. I agree with Mr. Kaiser,
17 that arguments can be made on both sides and that it is
18 ultimately the task of the court not to decide how the case
19 should have been decided by the administrative law judge, but
20 whether the ALJ's decision is supported by substantial
21 evidence. I also think, however, that the -- there are flaws
22 in the record and that the RFC finding is not supported by
23 substantial evidence. I don't think that the credibility
24 analysis was proper and complete and supported by substantial
25 evidence. Dr. Puri's reference shows that there is decreased

1 strength in right extremities, there are other reports in the
2 record that show limitations in both the right upper and
3 right lower extremities.

4 I think there are a host of problems with the ALJ's
5 decision but the primary one of course is the Social Security
6 Appeals Council's decision. I do not find compelling basis
7 to conclude that the plaintiff is disabled. I think there's
8 strong evidence, a good argument to be made that she is based
9 on Dr. Goldiner's opinions concerning the listings and
10 concerning her limitations, but I'm not able to say
11 definitively that she is disabled and that the matter should
12 be remanded with a directed finding. So I will grant
13 judgment on the pleadings to the plaintiff but without a
14 directed finding of disability and remand the matter for
15 further consideration by the Commissioner.

16 I've appreciated the excellent arguments of both
17 parties, this presented some very interesting legal and
18 factual issues, and look forward to working with you again.
19 Thank you.

20 MR. BENSON: Thank you very much, your Honor.

21 MR. KAISER: Thank you.

22 (Proceedings Adjourned, 4:41 p.m.)
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CERTIFICATE OF OFFICIAL REPORTER

I, JODI L. HIBBARD, RPR, CRR, CSR, Federal
Official Realtime Court Reporter, in and for the
United States District Court for the Northern
District of New York, DO HEREBY CERTIFY that
pursuant to Section 753, Title 28, United States
Code, that the foregoing is a true and correct
transcript of the stenographically reported
proceedings held in the above-entitled matter and
that the transcript page format is in conformance
with the regulations of the Judicial Conference of
the United States.

Dated this 19th day of November, 2015.

/S/ JODI L. HIBBARD
JODI L. HIBBARD, RPR, CRR, CSR
Official U.S. Court Reporter

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